

Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses beyond the nomination fee must complete Boxes C, D, Schedule 1, and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who was responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2 0 1 4	0 1	0 7

 to

YYYY	MM	DD
2 0 1 4	1 2	3 1

Primary filing reflecting finances to December 31 (or 45th day after voting day in a by-election)

Supplementary filing including finances after December 31 (or 45th day after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name

Luke

Given Name(s)

Charlie

Name of office for which the candidate sought election

Mayor

Ward name or no. (if any)

Name of Municipality

Norfolk County

Spending limit issued by clerk

\$ 50,626.45

I did not accept any contributions or incur any expenses other than the nomination fee. (Complete Box A and B only)

Box B: Declaration

I, Charlie Luke, a candidate in the municipality of Norfolk County, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before (clerk or commissioner)

in the County of Norfolkon (yyyy/mm/dd) 2015/02/17

Signature of Clerk or Commissioner

Date Filed in the Clerk's Office (yyyy/mm/dd)

Signature of Candidate

ANDREW GROZELLE, BA
Clerk, Norfolk County, Ontario
Commissioner for Taking Affidavits

Box C: Statement of Campaign Income and Expenses**LOAN**

Name of bank or recognized lending institution _____

Amount borrowed \$ _____

INCOMETotal amount of all contributions (From line 1A in Schedule 1) + \$ 31,935.00

Refund of nomination filing fee + \$ _____

Sign deposit refund + \$ 200.00

Revenue from fund-raising events not deemed a contribution (From Part III of Schedule 2) + \$ _____

Interest earned by campaign bank account + \$ _____

Other (provide full details) + \$ _____

1. _____ + \$ _____

2. _____ + \$ _____

3. _____ + \$ _____

Total Campaign Income (Do not include loan) = \$ 32,135.00 C1**EXPENSES** (Note: include the value of contributions of goods and services)**Expenses subject to spending limit**Nomination filing fee + \$ 200.00

Inventory from previous campaign used in this campaign (list details in Table 5 of Schedule 1) + \$ _____

Advertising + \$ 17,640.02Brochures/flyers + \$ 4,711.12Signs (including sign deposit) + \$ 7,101.45

Meetings hosted + \$ _____

Office expenses incurred until voting day + \$ 1,454.17

Phone and/or Internet expenses incurred until voting day + \$ _____

Salaries, benefits, honoraria, professional fees incurred until voting day + \$ _____

Bank charges incurred until voting day + \$ 49.65

Interest charged on loan until voting day + \$ _____

Other (provide full details) + \$ _____

1. _____ + \$ _____

2. _____ + \$ _____

3. _____ + \$ _____

Total Expenses subject to spending limit = \$ 31,156.41 C2**Expenses not subject to spending limit**Accounting and audit + \$ 750.00

Cost of fund-raising events/activities (list details in Part IV of Schedule 2) + \$ _____

Voting day party/appreciation notices + \$ _____

Office expenses incurred after voting day + \$ _____

Phone and/or Internet expenses incurred after voting day + \$ _____

Salaries, benefits, honoraria, professional fees incurred after voting day + \$ _____

Bank charges incurred after voting day + \$ 21.30

Interest charged on loan after voting day + \$ _____

Expenses related to recount + \$ _____

Expenses related to controverted election + \$ _____

Expenses related to compliance audit + \$ _____

Expenses related to candidate's disability (provide full details) + \$ _____

1. _____ + \$ _____

2. _____ + \$ _____

3. _____ + \$ _____

Other (provide full details) + \$ _____

1. _____ + \$ _____

2. _____ + \$ _____

3. _____ + \$ _____

Total Expenses not subject to spending limit = \$ 771.30 C3**Total Campaign Expenses (C2 + C3) = \$ 31,927.71 C4**

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income – Total Expenses) (C1 – C4)	+ \$	<u>207.29</u>	D1
Eligible deficit carried forward by the candidate from the last election	- \$	<u> </u>	D2
Total (D1 – D2)	= \$	<u>207.29</u>	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	<u>207.29</u>	
Surplus (or deficit) for the campaign	= \$	<u> </u>	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Amount of \$ _____ paid to municipal clerk in the municipality of _____.

Schedule 1 - Contributions

Part I - Summary of Contributions

Contribution from candidate (include the value of inventory listed in Table 5)	+ \$	
Contribution from spouse	+ \$	<u>14,650.00</u>
Total value of contributions not exceeding \$100 per contributor		
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$	<u>1,260.00</u>
Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Tables 1 - 4)		
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$	<u>16,025.00</u>
Less: Contributions returned or payable to the contributor	- \$	
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$10	- \$	
Total Amount of Contributions (Record in Box C)	= \$	<u>31,935.00</u> 1A

Part II - List of Contributions from Each Single Contributor Totalling more than \$100

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Amount \$
Keith Berry	25 Rolph St RR3 Stn Main Simcoe ON N3Y 4K2	200.00
Christine Bradacs	589 Hillcrest Rd Simcoe ON N3Y 4K1	250.00
David Bradacs	589 Hillcrest Rd Simcoe ON N3Y 4K1	250.00
Peter Selk	95 Woodstock Ave., Long Point RR3 Port Rowan ON N0E 1M0	750.00
Guilda Selk	95 Woodstock Ave., Long Point RR3 Port Rowan ON N0E 1M0	750.00
J Bryon Wiebe	RR 1 Walsingham ON N0E 1X0	250.00
Agnes de Maere	74 King St. Delhi ON N4B 1X6	500.00
Katherine Krashinsky	93 Chudleigh Avenue Toronto ON M4R 1T4	250.00
Joan Good	7 Summit Circle Simcoe ON N3Y 3K8	750.00
Paul Good	7 Summit Circle Simcoe ON N3Y 3K8	750.00

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity _____

Date of event/activity (yyyy/mm/dd) _____

Part I – Ticket Revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

+ \$ _____ 2A

Number of tickets sold

X _____ 2B

Total Ticket Revenue (2A X 2B) (Include in Schedule 1)

= \$ _____

Part II – Other revenue deemed a contribution

(provide details (e.g. revenue from goods sold in excess of fair market value))

1. _____ + \$ _____

2. _____ + \$ _____

3. _____ + \$ _____

4. _____ + \$ _____

5. _____ + \$ _____

Total Part II Revenue (include in Schedule 1)

= \$ _____

Part III – Other revenue not deemed a contribution

(provide details (e.g. contributions of \$10 or less; market value of goods or services sold))

1. _____ + \$ _____

2. _____ + \$ _____

3. _____ + \$ _____

4. _____ + \$ _____

5. _____ + \$ _____

Total Part III Revenue (include in Box C)

= \$ _____

Part IV – Expenses related to fundraising event or activity (provide details)

1. _____ + \$ _____

2. _____ + \$ _____

3. _____ + \$ _____

4. _____ + \$ _____

5. _____ + \$ _____

6. _____ + \$ _____

7. _____ + \$ _____

8. _____ + \$ _____

Total Part IV Expenses (include in Box C)

= \$ _____

Auditor's Report*Municipal Elections Act, 1996 (Section 78)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Chartered Professional Accountant

Municipality

Norfolk

Date (yyyy/mm/dd)

2015/02/01

Contact Information

Last Name

Erauw

First Name

Jeffrey

Licence Number

3-30486

Address

Suite/Unit No.

84

Street No.

Street Name

Colborne St. N.

City/Town

Simcoe

Province

ON

Postal Code

N3Y 3V1

Telephone No. (including area code)

519 426-5160

ext.

Fax No.

519 426-5174

Email Address

jeff.erauw@goodcas.com

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 78 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.

Supplementary attachment

Part II - List of Contributions from Each Single Contributor Totalling more than \$100

Table 1: Monetary contributions from individuals other than candidate or spouse

<u>Name</u>	<u>Full Address</u>			<u>Amount \$</u>
Wes deShane	97 Woodstock Ave	Port Rowan ON	N0E 1M0	200.00
Noreen deShane	97 Woodstock Ave	Port Rowan ON	N0E 1M0	200.00
Katherine Whiteside	37 Windham Rd	Norwich ON	N0J 1P0	200.00
John Luke	2 Howard St	Simcoe ON	N3Y 3N7	600.00
S. Anne Kavander	141 Woodstock Ave	Port Rowan ON	N0E 1M0	500.00
Peter Lafleche	141 Woodstock Ave	Port Rowan ON	N0E 1M0	500.00
Darlene Homeniuk	Hwy 6 - 160, RR 3	Simcoe ON	N3Y 4K2	500.00
Sandra Travers	8 Sun Valley Dr	Baden ON	N3A 3P9	300.00
Rhae Anne Naphan	46 Cole Crescent	Niagara on the Lake ON	L0S 1J0	225.00
J D Picard	RR #1 Windham Centre	Windham Centre ON	N3Y 2V8	500.00
Susan L Fredericks	155 Victoria St	Simcoe ON	N0A 1J0	750.00
Ellen Boyce	31 Melville St	Dundas ON	L9H 1Z7	300.00
Robert L. Welsh	878 Danforth Place	Burlington ON	L7T 1S2	200.00
David A. Brown	P O Box 642	Simcoe ON	N3Y 4T2	300.00
John Henderson	2120 Conc Rd 12	Hagersville ON	N0A 1H0	750.00
Supplementary Total				<u>6,025.00</u>



INDEPENDENT AUDITORS' REPORT

To Charlie Luke, Candidate for Mayor and Andrew Grozelle, County Clerk, Norfolk County

We have audited the Financial Statement - Form-4 (prepared in accordance with the Municipal Elections Act, 1996) in respect of the candidacy of Charlie Luke. This form has been prepared by the candidate based on the financial reporting provisions of the Municipal Elections Act, 1996.

Candidate's Responsibility for the Financial Statements

The Candidate is responsible for the preparation and fair presentation of these financial statements in accordance with the financial reporting provisions of the Municipal Elections Act, 1996 and for such internal control as the candidate determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with Canadian Generally Accepted Auditing Standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the candidate, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Basis for Qualified Opinion

Due to the inherent nature of the transactions of electoral campaigns, the completeness of contributions, other revenue and expenses is not susceptible to satisfactory audit verification. Accordingly, our verification of these amounts was limited to the amounts recorded in the records of the candidate and we were not able to determine whether any adjustments might be necessary to contributions, other revenue or expenses. As well, the Municipal Elections Act, 1996 does not require us to report, nor was it practicable for us to determine, that contributions reported include only those which may be properly retained in accordance with the provisions of the Act.

Qualified Opinion

In our opinion, except for the possible effects of the matter described in the "Basis for Qualified Opinion" paragraph, the Financial Statement - Form-4 are prepared, in all material respects, in accordance with the financial reporting provisions of the Municipal Elections Act, 1996.

Basis of Accounting

Without modifying our opinion, we draw your attention to the fact that these financial statements are prepared to assist the Candidate to meet the requirements of the Municipal Elections Act, 1996. As a result, the financial statements may not be suitable for another purpose.

Good Redden Klosler LLP

CHARTERED PROFESSIONAL ACCOUNTANTS

Licensed Public Accountants

February 4, 2015
Simcoe, Ontario

